



MISSISSIPPI LOTTERY CORPORATION

Dr. Michael J. McGrevey
Board Chairman

Thomas N. Shaheen
President

Dear Prospective Lottery Retailer:

Thank you for your interest in becoming a Mississippi Lottery Corporation (“MLC” or “Lottery”) retailer. You’ve taken the first step on a pathway to an exciting and rewarding venture as a lottery retailer. Lottery retailers statewide will have the opportunity to profit from the sales of lottery tickets and from additional sales of other products that come when lottery players are added to your regular store traffic.

Based on your indication of interest, enclosed is the MLC retailer application for your review and completion. The application contains the following Sections:

- Form A: Location Information, Business Information, Owner/Officer Information, Background Questionnaire, and Investigation Release and Retailer Contract Acceptance*
- Form B: Retailer Background Information*
- Form C: “In Trust for the MLC” Bank Account Verification*
- Form D: Electronic Funds Transfer (EFT) Authorization*
- Form E: Retailer Contract*
- Form F: Financial Guidelines and Certification*
- Form G: Form W-9 Request for Taxpayer Information Number and Certification*
- Form H: Retailer Self-Certification of Compliance with the Americans with Disabilities Act*
- Form I: Department of Revenue Waiver Form*

ALL FORMS MUST BE FILLED OUT COMPLETELY. You must submit a MLC retailer application in hard copy by U.S. mail to the following address:

Mississippi Lottery Corporation
Attention: Retailer Contracts Department
P.O. Box 321433
Flowood, MS 39232

The application must be accompanied by a business check or money order in the total amount of the application fee and any additional location fees, as well as a bond or letter of credit to the MLC in an amount of \$8,000.00 per retailer location. The application fee is \$80.00. There is a \$15.00 fee for each additional location owned by the same entity. Any questions regarding the bond should be directed towards your insurance provider.

All lottery applicants must undergo background investigations. Forms A and B request information on all persons associated with the business who have a financial interest therein. Forms A, F and I must be completed for each person listed on Form B. Please feel free to make multiple copies of Forms A, F and I. Forms A, C and I must be signed in front of a notary.

If you have any questions, please contact us at www.mslotteryhome.com or (601) 487-1155. Upon approval, you will be contacted to schedule installation of the terminal, merchandising and ticket orders.

Again, we thank you for your interest in participating in the Mississippi Lottery Corporation. We look forward to our partnership as we work together to benefit Mississippi's future.

Tom Shaheen

Tom Shaheen
President, Mississippi Lottery Corporation

1080 River Oaks Drive
Flowood, Mississippi 39232
(601) 487-1155

MISSISSIPPI LOTTERY CORPORATION

RETAILER CONTRACT APPLICATION INFORMATION

Mississippi Lottery Corporation (MLC) certificates are subject to The Alyce G. Clark Mississippi Lottery Law, Senate Bill 2001 (First Extraordinary Session 2018), codified at Miss. Code Ann. §§ 27-115-1 et seq., as amended (the Act), and the Rules and Regulations of the MLC, as amended.

Retailers:

- Must be at least 21 years of age;
- Cannot be engaged exclusively in the business of selling lottery tickets, sell tickets from a mobile or residential location, or operate any Video Lottery Terminal or any mobile or internet-based or monitor-based interactive game, or any simulated casino-style game;
- Must undergo a background investigation (credit and criminal history check);
- Must be current in filing all applicable State tax returns and payment of all State and local taxes, interest, and penalties (verification through the Mississippi Department of Revenue);
- **Must open a dedicated bank account designated as "In Trust for the MLC" and is strictly used for the deposit of lottery proceeds only;** and
- Cannot be a Lottery vendor or vendor employee, or reside in the same household as a member of the MLC, the MLC President, or any other officer or employee of the MLC.

No contract to act as a Lottery retailer is assignable or transferable. If you have purchased or are in the process of purchasing a business that is currently contracted to sell MLC products, you will have to apply separately and apart from the current business to sell MLC products. Failure to follow the MLC Retailer Contract, the Act, MLC Rules and Regulations and MLC policies and procedures may subject the applicant/retailer to denial, suspension, cancellation, revocation, termination and/or non-renewal of its contract.

All applications are processed in the MLC Retailer Contracts Department at the MLC headquarters in Flowood. For general information about the application process, visit the MLC website at www.mslotteryhome.com, or to inquire about the status of an application, a Retailer Service Representative can be reached by calling the MLC Retailer Services Hotline at 1-601-487-1155 or TTY at 1-601-487-1397.

**** A copy of your MLC Retailer Contract and the MLC Rules and Regulations are always available upon request. For a copy of the MLC Rules and Regulations, visit the MLC website at www.mslotteryhome.com or call the MLC Retailer Services Hotline at 1-601-487-1155 or TTY at 1-601-487-1397 for a copy to be mailed to you.**



THE APPLICATION PROCESS

Stage 1: Document Review

1. The application will be reviewed for completeness and verification of all required information and documentation and payment of all required fees.
2. If an application is incomplete, the applicant will be alerted by an MLC representative and required to submit all missing documentation within 90 days. Otherwise, the application will be denied.
3. A MLC representative will verify bank account information.
4. Upon completion of stage 1, notification will be sent to the applicant via U.S. mail and Stage 2 processing will begin.

Stage 2: Background Investigations

1. All retailers are subject to a:
 - credit check;
 - taxation check; and
 - criminal history check.
2. A background investigation will be performed on all individual owners/sole proprietors and each partner of general partnerships and limited partnerships.
3. A background investigation will be performed on all corporate officers and direct and indirect greater than 10% owners of corporations and LLCs.
4. If a change of ownership or business structure occurs, or if the retail location changes, the MLC must be notified in advance and a new application filed, with the following provided to the MLC:
 - a signed and dated Bill of Sale;
 - Purchase Agreement; or
 - Lease/ Rental Agreement documenting the transaction.

Stage 3: Site Assessment

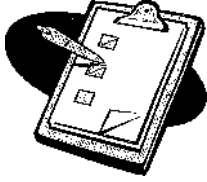
1. A physical assessment will be conducted to determine whether the business would be exclusively engaged in the sale of lottery tickets, would sell tickets from a mobile or residential location, or is operating any Video Lottery Terminal or any mobile or internet-based or monitor-based interactive game, or any simulated casino-style game

Stage 4: Approval/Denial Notification

1. If approved, notification will be sent via U.S. mail with further instructions on regarding training and terminal installation.
2. The application will automatically be denied if an owner/officer has been convicted of a felony, a criminal offense involving illegal gambling activity, false statements, false swearing or perjury in the State of Mississippi or any other jurisdiction, or a criminal offense related to the security or integrity of the MLC or any other lottery.
3. If denied, notice will be sent via U.S. mail describing the appeal process for applicants who desire to challenge the MLC's decision. If the applicant does not file an appeal within five (5) business days of receiving said notice, the application will expire, and the MLC's decision will no longer be subject to appeal.

Stage 5: Renewal

1. The retailer is required to submit a contract renewal application to the MLC no later than 90 days prior to the expiration date of its current contract.
2. The contract renewal process will be identical to the process for initial contract applications set forth above.



APPLICATION CHECKLIST

After completing the application, please submit the following:

- A completed application (Forms A through H)
- All required documents and information based on your business structure (see Form B of the application instructions)
- The application fee
 - There is a \$80 non-refundable application fee.
 - There is an additional \$15 non-refundable application fee for each additional location within the same business structure for a new applicant or a currently active retailer.

Use the grid below to determine the total amount of your application fee. Mark the fees you will be paying and indicate the number of each additional location. Include this completed worksheet with your application and fee payment.

Type of Fees	Number of Fees	Amount
• Base application fee (Initial location)	1 x \$80 =	\$
• Additional location fee (Each additional location to be considered; state taxpayer number, Federal ID, and/or social security number must be the same as the initial location)	___ x \$15 =	\$
• Total Fee Paid		\$

Change of Ownership

- If the previous owner sold lottery products, please check box and provide the Purchase Agreement, Bill of Sale, or Lease/Rental Agreement. A Change of Ownership process may reduce installation time.

**If your application does not include all of the items listed above,
It will be considered incomplete.
Incomplete applications cannot be processed.**

For assistance in completing this application, read the "Mississippi Lottery Corporation Application Instructions." For additional assistance, please call the Mississippi Lottery Corporation Retailer Services Hotline toll free at 1-855-652-5688 , or TTY at 1-601-487-1155.

**MISSISSIPPI LOTTERY CORPORATION
RETAILER APPLICATION INSTRUCTIONS**

Nature of Application [Form A, page 1]

1. Check the appropriate box for reason for application.
2. If change of ownership, provide the date the change is scheduled to take place.

Section A. Location Information [Form A, page 1]

1. Write the store name (doing business as, DBA).
2. Write the store telephone number.
3. Write the physical address (street, city, county, state, and zip code).
4. Write the mailing address (street, city, county, state, and zip code) of where you would like all official documentation to be sent.
5. Check the box if you own Property/Land. If not, provide the landlord information and/or attach a copy of your lease/rental agreement.
6. Write your business's daily store hours and an average weekly customer count.
7. For additional locations, please complete Section A for each additional location, or provide a spreadsheet listing additional locations and including all requested information.

Section B. Business Information [Form A, page 2]

1. Write the legal business name, as it appears on the Form W-9.
2. Write the total number of owners in your business. Total percentage of owners must equal 100%.
3. Mark the box that corresponds with your business trade style.
4. Mark the box that corresponds with your business type identified on your Form W-9.
5. Write your Mississippi Sales and Use Tax ID provided by the Mississippi Department of Revenue (MDOR).
6. Write your Federal Tax ID number provided by the Internal Revenue Service (IRS).
7. Write the State of formation of the business.
8. If your business holds a lottery retailer's license in any other state, provide the state(s) and the retailer license number and the number of permitted stores in each state listed.

Section C. Owner/Officer Information [Form A, page 3]

1. The applicant and each officer and direct and indirect greater than 10% owner must complete Sections C, D and E.
2. Write the full legal name, date of birth, Social Security Number, company title and percent of ownership, gender, home address (street, city, county, state, and zip code), number of years at

that address (if less than 10 years, provide home address(es) for the past 10 years), mobile telephone number, home telephone number, work telephone number, driver's license number, and e-mail address for each principal.

3. Provide a copy of a valid driver's license.

Section D. Background Questionnaire [Form A, page 3]

1. Follow the instructions listed in this section to mark the appropriate box for each of the questions in this section. All boxes must be answered, and any requested disclosure information provided.

Section E. Investigation Release and Retailer Contract Acceptance [Form A, page 4]

1. The applicant and each officer and direct and indirect greater than 10% owner must read the certification, acknowledgement and agreement.
2. Write your full name (individuals) or legal name as it appears on the Form W-9 (businesses).
3. Form must be executed and must be notarized.

Retailer Background Information [Form B, pages 1 - 4]

1. Write the name, date of birth and Social Security Number of each person having a financial interest in the Lottery retailer. A person having a "financial interest" in your business means all proprietors, partners, corporate officers and greater than 10% direct and indirect owners.
2. For any other business in which the Lottery retailer owns or had owned an interest, write the "doing business as" (DBA), the type and location (city, state) of the business, and the dates of ownership.
3. Provide the documents and information requested based upon your business structure.
4. Follow the instructions to mark the appropriate box for each of the questions on pages 3 and 4. All boxes must be answered, and any requested disclosure information provided.
5. Form must be executed by owner.

"In Trust for the MLC" Bank Account Verification [Form C]

1. Retailer owner must read the certification and agreement.
2. Account must be established "IN TRUST FOR THE MLC."
3. Provide a letter from your bank on bank letterhead with the routing number, account number and account name for the account that has been established.
4. Form must be executed by owner and must be notarized.

Electronic Funds Transfer (EFT) Authorization [Form D]

1. Retailer owner must read the authorization and agreement.
2. Write the name of your bank and its location.
3. Check the box if you are completing this form as a result of a change in the bank account used for your existing retailer account. If so, write your MLC Retailer number.

4. Write the account name for the bank account you established (NOTE: the account name must include the words "IN TRUST FOR THE MLC").
5. Write your business legal name (name must be registered with your bank).
6. Write the business physical address (street, city, state, and zip code).
7. Form must be executed by you or your authorized representative (who must be the same person as the signatory on the bank account).
8. Attach a copy of a voided check (not a deposit slip) from the bank account.

Retailer Contract [Form E, pages 1 - 6]

1. Retailer owner must read the agreement.
2. Form must be executed by owner or your authorized agent on page 5.
3. List every retail location name and address (street, city, county, and zip code) on page 6,

Financial Guidelines and Certification [Form F, pages 1 - 3]

1. All officers and direct and indirect greater than 10% owners must read the guidelines.
2. Form must be executed by each officer and direct and indirect greater than 10% owner on page 3.

Form W-9 Request for Taxpayer Identification Number and Certification [Form G]

1. For complete instructions on completing the Form W-9, please visit <https://www.irs.gov/pub/irs-pdf/fw9.pdf>.


Retailer Self-Certification of Compliance with the Americans with Disabilities Act [Form H, pages 1 - 2]

1. Write the business name, the name of a contact person for the business location, the location address (street, city, state, and zip code), and the telephone number for the contact person.
2. IF your location is in compliance with Title III of the Americans with Disabilities Act, execute the Form on page 2.
3. Copy and complete this form for every Retailer location.

Department of Revenue Waiver Form [Form I, pages 1 - 3]

1. The applicant and each officer and direct and indirect greater than 10% owner must complete Form I.
2. Write the Taxpayer name, address (street, city, state) and taxpayer identification number (SSN and/or FEIN).
3. Write the name and mailing address of representative to whom tax records are to be mailed.
4. Form must be executed and must be notarized.

**MISSISSIPPI LOTTERY CORPORATION
FORM A**

	Retailer Contract Application P.O. Box 321433 Flowood, MS 39232	Lottery Use Only		
	Office: 601-487-1155 TTY Service: 601-487-1397 Fax: 601-487-1398	Amount \$		
	Please Play Responsibly	Payment ID	Check #	RID #

Nature of Application:		
<input type="checkbox"/> New License	<input type="checkbox"/> Change in Business Type	<input type="checkbox"/> Add Owner
<input type="checkbox"/> Change of Ownership	<input type="checkbox"/> Change in Officers/Chairperson	<input type="checkbox"/> Other
If a Change of Ownership, please provide the date of change. Date: ____/____/____		

Section A: Location Information (Complete for all locations being applied for)									
1. Store Name:						2. Store Phone:			
3. Physical Address:			City:	County:	State:	Zip:			
4. Mailing Address:			City:	County:	State:	Zip:			
**Please provide the below requested information OR provide a copy of the lease/rental agreement									
5. Property Information: Do you own the property/land? <input type="checkbox"/> Yes <input type="checkbox"/> No If no, please complete the landlord information below:									
6. Landlord Information:									
Name:			E-mail:			Address:			Phone:
7. Store Hours:		Sun	Mon	Tue	Wed	Thurs	Fri	Sat	# stores applying: _____
Open:									(must be under same EIN/Tax Name). For each location, please complete Sections A and B or attach a spreadsheet
Close:									
Average Weekly Customer Count: _____									

PLEASE READ THE FOLLOWING INSTRUCTIONS CAREFULLY

- **The applicant and each and every officer and direct and indirect >10% owner must complete Sections C, D & E.**
- **Please print copies of the pages containing Sections C, D & E and make sure to submit one set, properly notarized, for the applicant and each and every officer and direct and indirect >10% owner.**
- **Include a copy of the valid Driver's License for each and every officer and direct and indirect >10% owner.**
- **Failure to do so will delay processing of your application or may result in a rejection of your application as incomplete.**

Section C: Owner/Officer Information (Every >10% Owner / Officer Must Complete)				
Full Name:				
Date of Birth: ___ / ___ / ___ mm / dd / yyyy	SSN: _____ - _____ - _____	Company Title & % Ownership		Gender:
Current Home Address: _____ City: _____ State: _____ Zip: _____ County: _____			Years at address:	
*If less than 10 years, please provide previous address below. If more than one, please list on a separate sheet of paper.				
Mobile Phone:	Home Phone:	Work Phone:	Driver's License #: (and attach copy)	E-mail:

<p>Section D: Background Questionnaire</p> <p>If you answer "yes" to any of the following questions, provide the information on a separate sheet of paper with your signature and date. Please note that, depending on the circumstances, a "yes" response will not necessarily disqualify you from being approved for a retailer contract. However, failure to disclose or provide accurate response will subject your application for denial.</p> <ol style="list-style-type: none"> 1. Disclose any convictions or pending charges of any state or federal law, whether misdemeanor or felony, including any offense relating to gambling activities. Please disclose below or on a separate sheet of paper. If none, please state "None". 2. Has the business or any person having financial interest in the business ever had a business or professional Contract from any state, suspended or revoked? Has the business or any person having financial interest in this business ever been licensed, contracted or authorized in any state, whether by a governmental agency or business, to conduct any type of gaming or lottery activities? If yes, specify the states, type of activity and dates permitted to conduct this activity. <input type="checkbox"/> Yes <input type="checkbox"/> No 3. Has the business or any person having financial interest in the business ever filed for bankruptcy, been placed into receivership or filed for court protection from creditors? If so, indicate when, the disposition and details of the situation. <input type="checkbox"/> Yes <input type="checkbox"/> No

Section E: Investigation Release and Retailer Contract Acceptance *Form must be signed and notarized

Full/Legal Name: _____

I hereby authorize the MLC to request a credit report, conduct criminal history check, or conduct any other background investigation as may be necessary to process my MLC Retailer Application. I authorize the MLC to share any such information, privileged, confidential or otherwise, necessary to consider the application to become an MLC Retailer. I further consent to allow MLC to use and share such information in a manner consistent with all applicable laws and necessary to effectuate, administer or enforce all rights, orders and obligations arising out of the relationship between the Retailer Applicant and the MLC. This release will expire upon the final termination of my Retailer's contractual obligations with the MLC.

I authorize the MLC to conduct a thorough credit review from my personal/business credit report upon submission of my MLC Retailer Application; renewal of my Security Deposit; renewal of my MLC Retailer Contract; or such other time as deemed appropriate by the MLC.

I understand and acknowledge that, based upon information from my personal/business credit report, the MLC will provide me, and all owners/officers of the business, written notice of the credit review result. Such written notice may contain conditional requirements, such as submission of a security deposit, or bank and trade reference information, due to insufficient credit and/or credit denial of any one or more owners/officers of the business. I further understand and acknowledge that the MLC's written notice will include specific reasons for the conditional requirements and that this information will be sent to all owners/officers who were listed on the Retailer Application including their home addresses. *Some examples of conditional requirements for approval include but are not limited to: Derogatory Credit, Overextended Credit, Repossessions, Open Bankruptcy, etc. No other specific credit information will be included in the notice.

My signature below further certifies that I have read and agree to abide by all laws and all rules and regulations of the MLC, the MLC Retailer Contact, Retailer Rules and Regulations and AC outlet requirements for lottery equipment.

By signing this document, the undersigned certifies on behalf of the applicant that the applicant is current on all required state and local tax filings and is not delinquent in payment of any taxes.

For Individuals: Additionally, the individual acknowledges that this waiver applies to the individual in his/her personal capacity as well as to all businesses owned by the individual.

For Businesses: I hereby certify that I am a duly authorized representative of the business applying for an MLC retailer contract with the power to sign and all documents, as required by the MLC, and that I have the authority to bind the business and its affiliates to the terms and conditions of the Retailer Contract, Retailer Rules and Regulations and any other policies and procedures as established by the MLC.

Signature: _____ **Date:** _____

Notarial certification: _____ **County,** _____ **(State)**

This day personally came and appeared before me, the undersigned authority in and for the aforesaid jurisdiction, the within named _____, who is personally known to me or who has produced (type of identification) _____, and who, after being by me first duly sworn, states on oath that the matters and things contained and set forth in the above and foregoing application are true and correct as therein stated.

Subscribed and sworn to before me on this _____ day of _____, 20__.

(Official Signature of Notary) (Printed Notary Public Name) (Date)

My commission expires: _____

Mississippi Lottery Corporation Consent: *Thomas N. Shaheen*
Thomas N. Shaheen, MLC President

MISSISSIPPI LOTTERY CORPORATION

RETAILER BACKGROUND INFORMATION

In order to conduct business as a Mississippi Lottery Corporation retailer, a background investigation will be conducted by the Mississippi Department of Public Safety (DPS) per Section 36 of The Alyce G. Clark Mississippi Lottery Law.

The following are items that will be required for the background investigation and must be provided to Mississippi Lottery Corporation.

Each person named below must also submit a completed and notarized Sections C, D and E of Form A, including an Investigation Release and Retailer Contract Acceptance.

Please contact the MLC at (601) 487-1155 if you need any clarification on this requirement.

If you do not have adequate space on the forms provided, please include the information on an attached sheet of paper with your name and date at the top of each page. *Additional information may be requested to complete the background checks.*

Disclose the names and requested information for all persons associated with the business who have a financial interest (see Retailer Application Instructions for Form B). Lottery Retailers must be at least 21 years of age. **All persons listed below must complete Form A (Sections C, D and E), Form F and Form I, and are subject to credit and criminal investigations. (Please print)**

Name:	Date of Birth:	Social Security Number:
Name:	Date of Birth:	Social Security Number:
Name:	Date of Birth:	Social Security Number:
Name:	Date of Birth:	Social Security Number:
Name:	Date of Birth:	Social Security Number:
Name:	Date of Birth:	Social Security Number:
Name:	Date of Birth:	Social Security Number:
Name:	Date of Birth:	Social Security Number:

List other current and previous business/enterprises in which you own/owned an interest.

DBA: _____ Business Type _____

City _____ State _____ From: _____ To: _____

DBA: _____ Business Type _____

City _____ State _____ From: _____ To: _____

Business Structures

The following business structures may contract with the MLC:

- Sole Proprietorships
- Corporations
- Limited Liability Companies
- Partnerships

Each business structure must provide certain information and documents. Please refer to the list below that applies to your business structure.

Corporation

Disclose the name and address of each officer, director, and direct and indirect owner. However, for owners holding their interest in a publicly traded corporation, you need only to provide the names and addresses of such owners known to you to own 5% or greater of the publicly traded corporation.

All current officers, directors, and direct and indirect greater than 10% owners of the corporation must complete Form A (Sections C, D and E), Form F and Form I of the application.

Limited Liability Company

Disclose the name and address of each manager and member.

Each current manager and member must complete Form A (Sections C, D and E), Form F and Form I of the application.

Partnership

Disclose the name and address of each general and limited partner.

Each current partner must complete Form A (Sections C, D and E), Form F and Form I of the application.

Sole Proprietorship

The sole proprietor must complete Form A (Sections C, D and E), Form F and Form I of the application.

If Change of Ownership, provide the Purchase Agreement or Bill of Sale, or Lease/Rental Agreement.

Questionnaire

If you answer "Yes" to any of the following questions, provide the information on a separate sheet of paper with your signature and date:

1. Has the business or any person having financial interest in the business ever been investigated, arrested, indicted or convicted for a violation of any law in Mississippi or any other jurisdiction, whether misdemeanor or felony? If yes, provide details and information that include the nature and date of offense, date of conviction, if any, jurisdiction of offense, dispositions and any orders of the court. Yes No
2. Has the business or any person having financial interest in the business ever been investigated, arrested, indicted or convicted for a violation of any law in Mississippi or any other jurisdiction, relating to gambling activities, whether misdemeanor or felony? If yes, provide details and information that include the nature and date of offense, date of conviction, if any, jurisdiction of offense, dispositions and any orders of the court. Yes No
3. Has the business or any person having financial interest in the business ever been the subject of an indictment? Yes No
4. Has the business or any person having financial interest in the business ever had a business or professional license from any jurisdiction suspended or revoked? Yes No
5. Is the business or any person having financial interest in the business currently charged with any crime? Yes No
6. Is the business or any person having financial interest in the business currently awaiting resolution of any violation of the law? Yes No
7. Has the business or any person having financial interest in the business ever failed to file State income tax reports of any kind? Yes No
8. Has the business or any person having financial interest in the business ever been licensed, contracted or authorized in Mississippi or any other jurisdiction, whether by a governmental agency or business, to conduct any type of gaming or lottery activities? If yes, specify the jurisdiction, type of activity and dates permitted to conduct this activity. Yes No
9. Has the business or any person having financial interest in the business ever filed for bankruptcy, been placed into receivership or filed for court protection from creditors? If so, indicate when, the disposition and details of the situation. Yes No
10. Does the business now or has it ever had any financial interest in any companies, organizations or businesses involved in gaming or lottery activities in Mississippi or any other jurisdiction? Yes No
11. Does the business have any ownership or vested interest in any equipment being or to be leased, purchased or utilized by the Mississippi Lottery Corporation or the lottery of any other jurisdiction? Yes No
12. Does the business now or has it ever received any revenue or compensation from any person or organization involved with or associated with any gaming or lottery activities whether in Mississippi or any other jurisdiction? Yes No

13. Are there any questions regarding criminal, traffic, financial or conflict of interest which we have not asked of you, an associate/partner, corporate officer, director or member which may impact your background investigations? Yes No

Applicant/Authorized Agent

Date

Printed Name

MISSISSIPPI LOTTERY CORPORATION

Dr. Michael J. McGrevey
Board Chairman

Thomas N. Shaheen
President

Re: "In Trust For The MLC" Bank Account Verification

Dear Lottery Applicant:

Pursuant to the Lottery Act and your retailer Contract, you are required to establish a dedicated bank account, titled "IN TRUST FOR THE MLC," to be used exclusively for lottery transactions. If you have not already done so, please establish a new bank account exclusively used for lottery transactions with the account name designated as "IN TRUST FOR THE MLC."

Please submit a letter on bank letterhead with the routing number, account number and account name for the account that has been established "IN TRUST FOR THE MLC."

By signing below, you certify that you understand your obligation to establish and maintain a dedicated lottery bank account, titled "IN TRUST FOR THE MLC" which shall be used exclusively for lottery transactions. Furthermore, you agree that you have a fiduciary duty to maintain such an account and to remit all lottery sales proceeds, minus your retailer commission and prizes paid, in such bank account for automatic withdrawal by the MLC. Your signature below must be witnessed and notarized by a Public Notary.

Tom Shaheen

Tom Shaheen
President, Mississippi Lottery Corporation

Owner's Signature

Owner's Name & Title (Print)

SWORN TO AND SUBSCRIBED before me this the ____ day of _____, 20____.

My Commission Expires: _____

NOTARY PUBLIC

Please do not write in this area
Retailer #: _____

MISSISSIPPI LOTTERY CORPORATION

ELECTRONIC FUNDS TRANSFER (EFT) AUTHORIZATION

I HEREBY AUTHORIZE the Mississippi Lottery Corporation to make automatic withdrawals or deposits each week from or into my business checking account which is at the following Depository Financial Institution (DFI) (Name of your bank) _____ in (City) _____ and authorize the DFI to charge such withdrawals or deposits to my listed account. Adjusting entries to correct errors and to collect additional charges, which may include penalties and/or interest, are also authorized.

It is agreed that these withdrawals, deposits and adjustments will be electronically made by the Electronic Fund Transfer (EFT) System under the rules and regulations of the Mississippi Lottery Corporation and the National and Local Automated Clearing House (ACH) Associates. I understand that this authorization will remain in effect until thirty (30) days' advance notice of termination or change of account is given to the Mississippi Lottery Corporation. I HAVE ATTACHED A VOIDED CHECK (no deposit slips) TO THIS FORM FOR THIS ACCOUNT.

<input type="checkbox"/> Check this box if this is to change the account used for existing retailer account. (Retailer # _____)		
Account Name as Shown at DFI (Business name as shown on your account): "IN TRUST FOR THE MLC"		
Legal Name (This is the name of the person or entity reported on Form A of your Mississippi Lottery Corporation Retailer Application in the "Legal Name" space, which owns the business and files income tax returns. This name MUST be registered with your bank):		
Street Address (physical address of the person or entity listed above):		
City:	State:	Zip Code:
Signature of Authorized Party (Must be the same as the signature on the bank account, an authorized representative of the business)		
Sign Here:	Print Name Here:	Date:

STAPLE VOIDED CHECK HERE FROM THE ACCOUNT TO BE USED FOR EFT

DO NOT USE A DEPOSIT SLIP

Please do not write in this area
Retailer #: _____

MISSISSIPPI LOTTERY CORPORATION
RETAILER CONTRACT

Definitions

1. **Act** - The Alyce G. Clark Mississippi Lottery Law, Senate Bill 2001 (First Extraordinary Session 2018), codified at *Miss. Code Ann. §§ 27-115-1 et seq.*, as amended.
2. **Board of Directors**, or **Board** - the five members of the board of directors of the MLC, appointed by the Governor with the advice and consent of the Legislature.
3. **Game**, or **lottery game** - means any procedure or amusement authorized by the MLC where prizes are distributed among persons who have paid, or unconditionally agreed to pay, for tickets or shares that provided the opportunity to win those prizes, and does not utilize a Video Lottery Terminal (as defined in the Act) or any mobile or internet-based or monitor-based interactive game, or any simulated casino-style game.
4. **MLC**, or **Lottery** - the Mississippi Lottery Corporation.
5. **Person** - any individual, corporation, partnership, unincorporated association or other legal entity.
6. **President** - the person selected by the Board and approved by the Governor to be the chief executive officer of the MLC.
7. **Retailer**, or **Lottery retailer** - a person with whom the MLC has contracted to sell tickets or shares in a lottery game to the public.
8. **Share** - any method of participation in a lottery game, other than by ticket purchased on an equivalent basis with a ticket.
9. **State** - the State of Mississippi.
10. **Ticket** - any tangible evidence authorized by the MLC to demonstrate participation in a lottery game.
11. **Video Lottery Terminal** - any electronic interactive computerized game machine or device equipped with a video screen and buttons, keys, a keyboard, touchscreen or other input device allowing input by an individual player and into which the player inserts coins, tokens, currency or other representation of value (including, but not limited to, an electronic card, ticket or other thing on which value is recorded electronically) as consideration in order for play of a game to be available, and through which, as a result of the play of a game, the player may receive free games, credits redeemable for cash or a noncash prize, or some other thing of value, whether or not received directly from the device, or nothing, determined wholly or predominantly by chance.

A Lottery retailer agrees to abide by the following terms and conditions for selling lottery tickets. Violation of any of these terms and conditions may result in the MLC terminating the Lottery retailer's contract and revoking its certificate to sell MLC products.

1. A Lottery retailer shall comply with all provisions of the Act, MLC rules and regulations, this retailer contract, and all other requirements of applicable law.
2. The MLC will not contract with a natural person under 21 years of age.
3. A Lottery retailer must not be engaged exclusively in the business of selling lottery tickets or shares, sell tickets from a mobile or residential location, or operate any Video Lottery Terminal or any mobile or internet-based or monitor-based interactive game, or any simulated casino-style game.
4. A Lottery retailer shall not sell tickets by mail, e-mail, internet, telephone, fax or other similar method of communications. Retailers shall not offer any lottery games to be played or sell tickets by any personal computer, tablet, smartphone, mobile device or other similar equipment or type of device. A Lottery retailer shall not allow any illegal lottery device (defined in the Act) on the premises of its retail location(s).
5. A Lottery retailer cannot also be a Lottery vendor, or reside in the same household as a member of the Board, the President, or any other officer or employee of the MLC.
6. A Lottery retailer must be current in filing all applicable State tax returns and in payment of all State and local taxes, interest, and penalties owed, excluding items under formal appeal under applicable statutes.
7. A Lottery retailer is subject to a background check as designated by the Act.
8. To be eligible to receive and hold a lottery contract, a person shall not have been convicted of a felony, a criminal offense involving illegal gambling activity, false statements, false swearing or perjury in the State or any other jurisdiction, or a criminal offense related to the security or integrity of the MLC or any other lottery.
9. A Lottery retailer will notify the MLC at least 20 days prior to voluntary cancellation of this contract; any change in the location of the retailer's business; any change in the designated separate bank account from which payments to the MLC are to be made; and any change in ownership of the retailer requiring the new owner to apply to become a retailer pursuant to the MLC rules and regulations. A Lottery retailer will notify the MLC within 10 days following any change in the information submitted to the MLC in the retailer's most recent application, including but not limited to its financial status, ownership of greater than 10%, directly or indirectly, or its officers, directors, partners, sole proprietor, etc.; the death or incapacity of any owner; any change causing retailer to no longer fully satisfy all requirements of a retailer under the Act or the MLC rules and regulations; and any violation of the provisions of the Act, the MLC rules and regulations, or this agreement.
10. Any change in ownership of greater than 10% requires the new owner to file a MLC retailer application.
11. If a Lottery retailer or any of its direct or indirect greater than 10% owners are convicted of, or enter a plea of guilty or nolo contendere, to a criminal offense prohibited by the Act, have violated any provisions of the Act, the Rules and Regulations or the Retailer Contract, or have assessed against them a tax delinquency, the MLC must be notified in writing by the Lottery retailer within 10 days.

12. A Lottery retailer will make the purchase and redemption of lottery tickets convenient and readily accessible to the public. Lottery tickets will be available for sale during the Lottery retailer's normal business hours; the Lottery retailer agrees to provide for the redemption of winning tickets during the same hours, up to the amount established by the MLC and subject to the MLC's approved validation hours.
13. A Lottery retailer will offer any and all available instant games, as designated by the MLC, for sale to the public, at all times during the Lottery retailer's normal business hours. The Lottery retailer will offer any and all available on-line games, as designated by the MLC, for sale to the public at all times such games are available during the Lottery retailer's normal business hours.
14. A Lottery retailer will be charged a weekly communication charge of \$20.00 for each location. This fee may be waived at the discretion of the MLC in accordance with uniform minimum sales criteria and other criteria, which may be established by the MLC from time to time.
15. It shall be unlawful for a person to sell a lottery ticket or share to a person under the age of 21 years. No person under the age of 21 years shall purchase a lottery ticket or share. A Lottery retailer is required by the Act and the MLC rules and regulations to require certain forms of identification before selling a ticket to any person.
16. No prize shall be paid to a person under the age of 21.
17. No ticket or share in a lottery game shall be sold or resold for any price other than the retail sales price established by the MLC.
18. A Lottery retailer may only sell tickets for cash, debit card, credit card or prepaid debit/credit card. Tickets cannot be purchased with checks, food stamps, electronic benefit transfer (EBT) cards or WIC vouchers. A Lottery retailer shall not extend credit to customers for the purchase of tickets.
19. A Lottery retailer shall not engage in the bulk sale of tickets. A Lottery retailer shall not knowingly sell any ticket and shall not pay any prize to any member of the Board or any officer or employee of the MLC; to any Lottery vendor or Lottery retailer; or to any spouse, child, brother, sister or parent residing in the same household as any of the foregoing.
20. A Lottery retailer shall not knowingly sell a ticket or combination of tickets to any person or entity, which would guarantee such purchaser a win or prize.
21. A Lottery retailer shall sell no lottery tickets or shares unless the retailer conspicuously displays a lottery certificate, signed by the President, to sell lottery tickets or shares. The MLC shall issue a certificate of authority to each Lottery retailer for the purpose of display for each retail outlet owned and operated by the Lottery retailer. No certificate is assignable or transferable.
22. The Lottery retailer agrees to prominently display point-of-sale materials provided by the MLC and to display the ticket dispensers provided by the MLC for the sale of tickets in a prominent location near the cash register or checkout. Lottery retailers may purchase their own dispensers. Lottery retailers will make every effort to display MLC-supplied exterior signage.
23. A Lottery retailer must display, at each public entrance to all of their retail locations, signage required by the Act and issued by the MLC informing customers of a toll-free telephone number

for a Mississippi or national organization providing information and referral services regarding compulsive or problem gambling.

24. A Lottery retailer shall furnish an appropriate bond or letter of credit, as required by the Act.
25. A Lottery retailer is responsible for all tickets delivered to the retail location. The Lottery retailer is expected to provide Lottery-approved security for all tickets and MLC property, and will immediately report any lost, missing, stolen, damaged, altered or counterfeit tickets to the MLC. The Lottery retailer will also notify appropriate local law enforcement officials of any stolen tickets or equipment and provide the MLC with a copy of law enforcement's stolen ticket report. The Lottery retailer will immediately report any unlawful activities or improprieties that concern the operations of the MLC or its Games.
26. The amount of compensation paid to Lottery retailers for their sales of lottery tickets or shares shall be six percent (6%) of the retail price of the tickets or shares sold for each lottery game.
27. For the first 60 days after start-up, instant ticket packs will be settled on the gaming system 5 days after packs have been activated by the Lottery retailer. Lottery retailers will be required to pay charges for those packs at the close of the accounting week in which that 5th day occurs. For example: If a pack is activated on Monday, it will settle on the following Friday, and will be charged to the retailer at the close of the accounting week on Saturday. Beginning the 61st day after start-up, packs will settle 21 days after activation.
28. A Lottery retailer must make full financial settlement with the MLC every week via an electronic funds transfer (EFT) or other recorded financial instruments as authorized by the MLC and approved by the President. The MLC may require full financial settlement more than once per week under certain conditions. The Lottery retailer is responsible for proceeds from the sale of all online game tickets sold that week and all instant ticket packs settled as noted in item 25 above. The MLC will notify the Lottery retailer of the amount due (or credit owed) each week. Lottery retailers who have non-sufficient funds (NSF) to cover their weekly EFT amount will be assessed a fee in accordance with the Lottery Retailer rules and regulations. A Lottery retailer may be required to supply a security deposit in an amount determined by the MLC. Repeated NSFs could cause a Lottery retailer's lottery certificate to be revoked and this contract to be terminated. All individuals listed as having financial interest in the business of the Lottery retailer will be held responsible for the Lottery retailer's debts to the MLC.
29. A Lottery retailer will keep a complete and current set of records accounting for all of its sales of lottery tickets and shall provide it for inspection upon the request of the MLC.
30. A Lottery retailer will fully cooperate with any and all inspections and/or investigations conducted by the MLC as it relates to MLC business.
31. A Lottery retailer agrees to indemnify and hold the MLC, its Board members, officers, employees, and agents harmless against any losses, costs, expenses (including, but not limited to, reasonable attorneys' fees), claims, damages, liabilities, penalties, actions, proceedings or judgments resulting from any claim, suit, action, or proceeding brought by any third party against the MLC related to or arising out of any actual or alleged violation of the Americans with Disabilities Act in connection with the Lottery retailer's retail locations.

32. All the equipment and products supplied by the MLC remain the property of the Lottery. Lottery retailers will provide protection and care of all such equipment and products. The Lottery retailer must provide adequate power source to operate the gaming terminal. The Lottery retailer will immediately report stolen or damaged equipment to the Lottery. Only Lottery-supplied or Lottery-approved products are to be affixed to or loaded into dispensers or placed on equipment. The Lottery may remove the equipment, products, and supplies at any time, with or without notice.
33. A Lottery retailer may be required to maintain minimum weekly sales averages, which will be determined and communicated by the MLC.
34. A Lottery retailer shall attend training sessions from time to time upon the request of the MLC.
35. A Lottery retailer agrees to abide by all applicable MLC policies and procedures, all official MLC rules and regulations, and the Act. The MLC reserves the right to suspend, cancel, or terminate a Lottery retailer contract for any violation of this agreement, applicable MLC policies and procedures, official MLC rules and regulations, or the Act.
36. During its normal business hours, a Lottery retailer agrees to immediately validate and pay winning Lottery tickets under Six Hundred Dollars (\$600.00) and make MLC claim forms available to players possessing winning Lottery tickets of Six Hundred Dollars (\$600.00) or more.
37. Under no circumstances shall a Lottery retailer condition the sale of lottery tickets upon the purchase of any other goods or services or charge any player a fee for the services of validating or paying winning lottery tickets.
38. A Lottery retailer shall not assign or transfer this agreement, in whole or in part, to any person. This agreement is not transferable to any location(s) other than those specified in Schedule I attached to this agreement.

In signing this agreement, I acknowledge that I have read and understand the terms and conditions and agree to be bound by them, all official MLC rules and regulations, and the Act. I certify that I am an agent of the Lottery retailer and I am duly authorized to enter into this contract on behalf of the Lottery retailer.

Signature of Owner or Authorized Agent

Owner's Name & Title (Print)

Date

Thomas N. Shaheen
President, Mississippi Lottery Corporation

**PLEASE READ THE FOLLOWING INSTRUCTIONS
CAREFULLY**

- **The applicant and each and every officer and direct and indirect >10% owner must complete this Form.**
- **Please print copies of the pages containing FORM F and make sure to submit one set, properly notarized, for the applicant and each and every officer and direct and indirect >10% owner.**
- **Failure to do so will delay processing of your application or may result in a rejection of your application as incomplete.**

MISSISSIPPI LOTTERY CORPORATION

**FINANCIAL GUIDELINES AND CERTIFICATION
FOR MISSISSIPPI LOTTERY RETAILERS**

Mississippi Lottery Corporation ("MLC" or "Lottery") retailers are subject to certain financial conditions, as stated in the Mississippi Lottery Corporation Retailer Contract. These conditions and guidelines described below detail the responsibilities of the Lottery retailer in order to ensure fair and equitable handling of all financial circumstances with regards to Lottery retailer accounts. Any deviation from these guidelines will be at the discretion of the President.

Each retail outlet must be approved by the Legal/Security division of the MLC prior to obtaining a contract to dispense lottery products. A portion of this approval process includes a background check verifying the financial status of the individuals applying for the contract by obtaining personal and professional credit history. A report containing questionable or unresolved credit issues may cause the individual to be subject to a conditional status, requiring that a security deposit be posted in an amount determined by the MLC based on anticipated or current sales. Questionable items may include debts sent to a collection agency marked as "unpaid," unresolved judgments, and personal or business bankruptcy. These guidelines are intended to be applied fairly to all applicants for a lottery contract.

In addition, personal credit reports on all direct or indirect greater than 10% owners may be run annually or as often as deemed to be necessary, to update the status of active retailers. Decisions based on the results of new information may include the requirement of a security deposit or other credit enhancement according to guidelines.

Payment for lottery tickets is to be made via weekly electronic funds transfer (EFT) or more frequently as determined by the MLC from the Lottery retailer bank account to the MLC receiving account. It is the responsibility of the Lottery retailer to deposit all lottery proceeds into a designated bank account daily. If all net lottery proceeds as detailed on the retailer invoice are not in the separate retailer bank account, the retailer will be deemed to be in default due to non-sufficient funds (NSF).

On the first occasion (Step One) of an "insufficient funds (NSF)" EFT within a 180-day period, the retailer will be contacted via telephone as well as notified in writing by the MLC, and a charge of \$25.00 per NSF default will be added to the Lottery retailer account. Within 24 hours, the retailer shall deposit the required

funds into the Lottery retailer bank account and provide notice to the MLC of funds availability to enable an automated clearing house (ACH) debit using EFT. If the retailer fails to deposit the required funds to cover the NSF amount plus \$25.00 per NSF default within 24 hours, the retailer's lottery terminal operation and instant ticket deliveries may be immediately suspended.

If a second (Step Two) "insufficient funds (NSF)" EFT occurs within a 180-day period, the retailer will be contacted via telephone as well as notified in writing by the MLC, and a charge of \$25.00 per NSF default will be added to the Lottery retailer account. The retailer's lottery terminal operation and instant ticket deliveries will be immediately suspended until such time payment of the required funds plus \$25.00 per NSF default is received.

If a third or subsequent (Step 3) "insufficient funds (NSF)" EFT occurs within a 180-day period, the retailer will be contacted via telephone as well as notified in writing by the MLC, and a charge of \$25.00 per NSF default will be added to the Lottery retailer account. The retailer's lottery terminal operation and instant ticket deliveries will be immediately suspended until such time payment of the required funds plus \$25.00 per NSF default is received. Additionally, the retailer's Lottery contract may be immediately suspended for a period of up to 30 days, with a review of the retailer's account conducted prior to reactivation. A security deposit or other credit enhancement may be required as a condition of reactivation of the Lottery contract.

If more than 30 days lapse before a NSF default is paid by the retailer, regardless of the number of occurrences within a 180-day period, the MLC, in its sole discretion, may require a security deposit or other credit enhancement prior to reactivation.

Notwithstanding any of the above, the MLC, in its sole discretion, may require a security deposit or other credit enhancement for a retailer who no longer meets the MLC's acceptable level of creditworthiness as assessed through an independent credit agency and/or based upon the current or prior payment history with the MLC.

In addition, any Lottery retailer with two or more "insufficient funds (NSF)" EFT payments during a 180-day period will be ineligible for any incentive programs that may apply to the periods of unsuccessful sweep attempts.

Any Lottery retailer may regain "good financial standing" with the completion of 180 successive days of successful EFT sweeps. "Good financial standing" allows a retailer to participate in incentive programs that may be in effect.

In the event a Lottery retailer defaults on payment, individuals listed as having financial interest in the business (those individuals listed in the retailer application) will be considered personally financially liable for any outstanding balance owed to the MLC at the time of default as well as all instant tickets and equipment not returned to the MLC.

In the event payment is not forthcoming after a period of 90 days from the date of default, the names of the responsible individuals will be forwarded to a collection agency for the purpose of collecting the debt. An unpaid debt may result in a listing on the personal credit history file of each individual financially associated with the defaulting Lottery retailer.

Bond, Letter of Credit and Security Deposit Guidelines:

Each potential MLC Lottery retailer is required to provide a bond or letter of credit to the MLC in an amount not to exceed twice the retailer's average weekly ticket sales. Initially, this amount shall be \$8,000.00 per retailer location. This amount is subject to later adjustment by the MLC once sufficient sales data is accumulated to determine an average of the retailer's weekly ticket sales.

A security deposit in addition to the aforementioned bond/letter of credit may also be required from those applicants with a significant number of negative items on credit reports. An appropriate security deposit will be determined after an appropriate background check is completed. All deposits will be held a minimum of 2 years after which time a new financial credit review will be conducted including the retailer's financial credit history with the MLC. At the discretion of the President, the security deposit may be reduced or returned to the Lottery retailer pending the results of the financial credit review. Should a Lottery retailer terminate its contract with the MLC and pay all funds due the MLC, the security deposit will be returned to the retailer.

CERTIFICATION

I hereby certify that I have received and read and acknowledge the foregoing Financial Guidelines for Mississippi Lottery Retailers, and agree to be bound thereby.

Signature of Retailer/Officer/Owner

Name & Title (Print)

Date

Form **W-9**
(Rev. October 2018)
Department of the Treasury
Internal Revenue Service

Request for Taxpayer Identification Number and Certification

**Give Form to the
requester. Do not
send to the IRS.**

▶ Go to www.irs.gov/FormW9 for instructions and the latest information.

Print or type.
See Specific Instructions on page 3.

1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.	
2 Business name/disregarded entity name, if different from above	
3 Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check only one of the following seven boxes.	4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3): Exempt payee code (if any) _____ Exemption from FATCA reporting code (if any) _____ <small>(Applies to accounts maintained outside the U.S.)</small>
<input type="checkbox"/> Individual/sole proprietor or single-member LLC <input type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate	
<input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partnership) ▶ _____ Note: Check the appropriate box in the line above for the tax classification of the single-member owner. Do not check LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the owner of the LLC is another LLC that is not disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-member LLC that is disregarded from the owner should check the appropriate box for the tax classification of its owner.	
<input type="checkbox"/> Other (see instructions) ▶ _____	
5 Address (number, street, and apt. or suite no.) See instructions.	Requester's name and address (optional)
6 City, state, and ZIP code	
7 List account number(s) here (optional)	

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

Note: If the account is in more than one name, see the instructions for line 1. Also see *What Name and Number To Give the Requester* for guidelines on whose number to enter.

Social security number					
<table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 25%; border: 1px solid black; height: 20px;"></td> <td style="width: 5%; text-align: center;">-</td> <td style="width: 25%; border: 1px solid black; height: 20px;"></td> <td style="width: 5%; text-align: center;">-</td> <td style="width: 40%; border: 1px solid black; height: 20px;"></td> </tr> </table>		-		-	
	-		-		
OR					
Employer identification number					
<table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 15%; border: 1px solid black; height: 20px;"></td> <td style="width: 5%; text-align: center;">-</td> <td style="width: 85%; border: 1px solid black; height: 20px;"></td> </tr> </table>		-			
	-				

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
3. I am a U.S. citizen or other U.S. person (defined below); and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

Sign Here	Signature of U.S. person ▶ _____	Date ▶ _____
------------------	----------------------------------	--------------

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/FormW9.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

- Form 1099-INT (interest earned or paid)

- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding, later.

**MISSISSIPPI LOTTERY CORPORATION
Retailer Self-Certification of Compliance
With the Americans with Disabilities Act**

Title III of the Americans with Disabilities (ADA) requires public accommodations to provide goods and services to people with disabilities on an equal basis with the rest of the general public. In order to be considered as a Mississippi Lottery Corporation (MLC) retailer, you must complete this form:

Please Print or Type:

Business Name: _____

Contact Person: _____

Site Address: _____

City, State and Zip: _____

Phone: _____

Guidance

Construction: If your facility was designed and constructed for first occupancy between January 26, 1993 (which means that the last application for a building permit or permit extension was certified as complete as of January 26, 1992) and March 14, 2012, your facility must comply with the ADA Accessibility Guidelines as originally published in 1991 for building and facilities.

Facilities constructed on or after March 15, 2012, must comply with the 2010 Standards. — Appendix A to Part 36 of the Code of Federal Regulations.

Alterations: Alterations performed to facilities between January 26, 1992 and March 14, 2012 must be readily accessible to and usable by individuals with disabilities, and must be in compliance with the 1991 Standards. Alterations include, but are not limited to, remodeling, renovation, rehabilitation, reconstruction, historic restoration, changes or rearrangement in structural parts or elements and changes or arrangement in the planned configuration of walls and full-height partitions.

Alterations performed on or after March 15, 2012 must be made in compliance with the 2010 Standards. If your building was constructed prior to March 15, 2012 and is compliant with the 1991 Standards, you are not required to make such alterations solely for the purpose of bringing it into compliance with the 2010 Standards.

Old Buildings: If your building was designed and constructed for first occupancy before January 26, 1993, you should remove architectural and communication barriers where such removal is readily achievable. "Readily achievable" means easily accomplishable and able to be carried out without much difficulty or expense.

When a retailer can demonstrate that a barrier removal in its facility is not readily achievable, you must make MLC products available through alternative methods, if those methods are readily achievable. Such alternatives may include providing curb service and/or relocating activities to accessible locations.

The obligations to perform readily achievable barriers removal is a continuing one. If removal is not currently readily achievable, but becomes so in the future, it must be done then.

RETAILER ADA SELF-CERTIFICATION

I understand that failure to ensure that my facility is in compliance with Title III of the Americans with Disabilities Act may be considered by the Mississippi Lottery Corporation in determining whether I will be issued a Retailer Certificate for my facility. I certify that I have inspected my premises and that my facility is in compliance with Title III of the Americans with Disabilities Act.

Owner Name: _____
Print or Type Name

Authorized Agent: _____
Signature

Date: _____

**PLEASE READ THE FOLLOWING INSTRUCTIONS
CAREFULLY**

- **The applicant and each and every officer and direct and indirect >10% owner must complete this Form.**
- **Please print copies of the pages containing FORM I and make sure to submit one set, properly notarized, for the applicant and each and every officer and direct and indirect >10% owner.**
- **Failure to do so will delay processing of your application or may result in a rejection of your application as incomplete.**

WAIVER AND AUTHORIZATION TO RELEASE CONFIDENTIAL TAXPAYER INFORMATION TO THE MISSISSIPPI LOTTERY CORPORATION AND THE MISSISSIPPI LOTTERY CORPORATION RETAILER'S DESIGNATED REPRESENTATIVE

The information requested in this release is required as part of the background check for an application to become a Mississippi Lottery Corporation (MLC) Retailer. Any legal entity, all owners with 10% or more interest, and all officers of the entity must submit a waiver. Make as many copies of this form as needed. *You must submit a separate waiver for each legal entity, individual owner and officer for which tax information is needed.*

Name of Taxpayer: _____
Street Address: _____ City: _____ State: _____
Social Security Number for Individuals or FEIN for Entities: _____

Pursuant to Miss. Code Ann. §§ 27-3-83(6) and 27-7-83(3)(d), the above named taxpayer hereby waives the confidentiality provisions of Miss. Code Ann. §§ 27-3-73, 27-7-83, 27-13-57, and 27-65-81, regarding any current balances due to the Department of Revenue (DOR), any tax liability due to DOR previously enrolled as a lien, or information regarding the person's compliance with all laws administered by DOR. Specifically, the taxpayer authorizes the release of the information identified above to the MLC and the MLC Retailer's Representative as identified below:

(Name of Representative of the MLC Retailer to Whom Tax Records Are To Be Mailed)

(Mailing Address of the MLC Retailer's Representative to Whom Tax Records Are To Be Mailed)

This waiver and authorization to release confidential taxpayer information shall be effective until compliance with the above referenced request has been made. Upon such compliance, this waiver and authorization to release confidential taxpayer information shall terminate and shall no longer be effective.

INSTRUCTIONS FOR SIGNING

This waiver and authorization must be signed by the taxpayer. In the case of a return of an individual, this form must be signed by that individual. In the case of an income tax return filed jointly, this form must be signed by either of the individuals with respect to whom the return is filed. In the case of a partnership, this form must be signed by any member of the partnership during any part of the period covered by the return. In the case of a return filed by a member managed limited liability company, this form must be signed by any person who was a member of the limited liability company during any part of the period covered by the return. In the case of a return filed by a manager managed limited liability company, this form must be signed by any manager of the limited liability company. In the case of a return of a corporation, this form must be signed by a principal officer of the corporation and attested to by the corporation's secretary or other officer.

This the _____ day of _____, 20_____.

Signature

Capacity

ATTEST (In the case of corporations)

By: _____
Title: _____

Notarial certification: _____ **County,** _____ **(State)**

This day personally came and appeared before me, the undersigned authority in and for the aforesaid jurisdiction, the within named _____, who is personally known to me or who has produced (type of identification) _____, and who, after being by me first duly sworn, states on oath that the matters and things contained and set forth in the above and foregoing application are true and correct as therein stated.

Subscribed and sworn to before me on this _____ day of _____, 20__.

(Official Signature of Notary)

(Printed Notary Public Name)

(Date)

My commission expires: _____